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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

### PATENT APPLICATION

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Title: SYSTEM FOR CHARGING THE USE OF A PACKET-BASED

TELECOMMUNICATION NETWORK

Assistant Commissioner for Patents

Box PCT

Washington, D. C. 20231

S I R:

# SUBMISSION OF PRIORITY DOCUMENTS

In connection with the above-captioned application, applicants enclose the following certified priority documents (with English translations) to support their claim to priority:

> Netherlands - Serial No. 1009987, filed 2 September 1998; and Netherlands - Serial No. 1009342, filed 8 June 1998.

> > Respectfully submitted,

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Peter L. MICHAELSON

Name of person making certification

# KINGDOM OF THE (crest) NETHERLANDS

# PATENT OFFICE

This certifies that in the Netherlands, on 2 September 1998, a patent application was filed under number 1009987, in the name of:

Koninklijke KPN N.V.

of Groningen

for: "System for charging the use of a packet-based telecommunication network." claiming priority of the patent application which was filed in the Netherlands on 8 June 1998 under number 1009342, and that the documents attached hereto are in accordance with the documents originally filed.

Rijswijk, 25 June 1999.

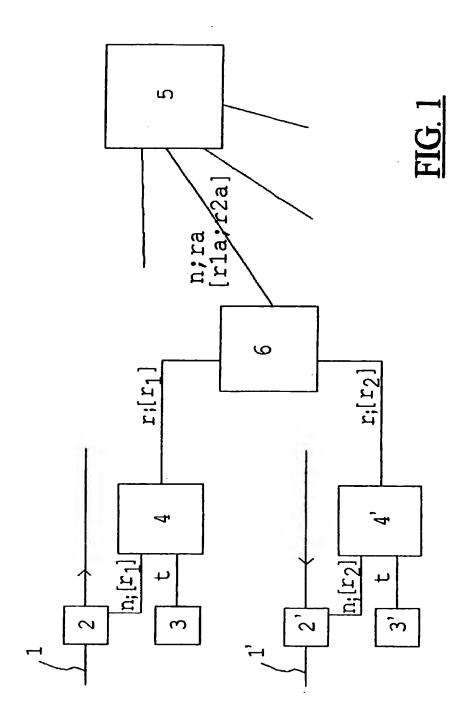
On behalf of the Chairman of the Patent Office,

(signature)

(A.W. van der Kruk)

# **ABSTRACT**

System for charging the use of a packet based telecommunication network. A measuring device (2) measures the number (n) of data units during a period of time (T) or the period of time (t) between a certain number (N) of data units. At any rate, the period of time is short in relation to the total connection time. A calculation device (4) calculates per period of time the number of data units per unit of time and transmits that calculation result (r) to a billing sytem (5). If the telecommunication system comprises system data units which comprise an indication (r1) of the capacity or priority requested by the user, that indication can also be passed on to the billings sytem, as well as an indication of the capacity or priority assigned by the telecommunication system



System for charging the use of a packet-based telecommunication network.

### BACKGROUND OF THE INVENTION

- 5 The invention is related to a system for charging the use of a packet-based telecommunication network, such as an ATM or IP oriented network.
  - a. ATM (Asynchronous Transfer Mode)

ATM is a relatively new network technique for supplying connections with very different characteristics in a uniform manner. For each ATM connection has to be paid. Preferably, the amount charged for the connection reflects both the performance delivered by the network and the performance experienced by the customer. In a telephone network something like this is achieved by letting the amount to be dependent on the duration of the connection (calculated in seconds, minutes or other units, such as "ticks") and on the distance covered. A telephone call, however, is almost always a case of a connection with a fixed capacity (e.g. 64 kbit/s with ISDN). In contrast, ATM 20 is much more flexible and there are more connection parameters and variables than with PSTN or ISDN based connections.

- With ATM connections can be established with varying capacity (some kbit/s up to hundreds of Mbit/s).
- With ATM connections can be established with varying network garantuees concerning cell loss, cell delay, cell delay variance and throughput by choosing an "ATM Transfer Capability" (ATC) [2] and Quality of Service class (QoS
- oclass) [3]. The amount charged for an ATM connection should preferably also reflect this additional flexibility, which is the subject of the present invention. An important aspect in determining the manner of charging for ATM connections is the direction (incentive) that the charging
- 35 gives to the manner of network usage. In a traditional telephone network the time-related charge usually assures

that the customer does not unnecessarily occupy the the connection. In a traditional data network usually a volume rate is used, so that there is an incentive not to burden the network with unnecessary traffic. Another example is the application of an off-peak rate with a view of shifting a part of the network traffic to periods outside the peak hours so that the network can be dimensioned smaller and thereby is less expensive.

The present state of the art usually envisages to base the charge of an ATM connection on two variables, i.e. a time component, the duration of time of the connection (session), and a volume component, the total number of ATM cells transmitted and/or received during the connection [1]. Both variables can easily be measured, registered and processed into a charge during the connection. On processing of the values of the time component the price per unit can depend on various quantities. Examples of such quantities are the distance covered and time of the day or of the week, comparable with the usual charging for telephone. Examples of other quantities are the ATM parameters such as the Peak Cell Rate of the connection etc.

There are different ATCs standardised in ITU-T recommendation I.371. Hereafter it is indicated what are the limitations for some of the ATCs of choosing a charge which is based on the time and volume component as described in the above.

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A charge which is exclusively based on the time and volume component as set out in the above, has as a consequence that only the total connection duration and only the total number of cells during the duration of the connection play a role in the charge. For these quantities (and thereby for the charge) it does not matter whether all cells are offered evenly over the connection duration ("Constant Bit

Rate") or are concentrated in one or more bursts of cells ("Variable Bit Rate"). For the network it is advantageous if the cells offered are spread as much as possible. ATM connections that use SBR (Statistical Bit Rate) are characterised by two additional parameters, the Sustainable Cell Rate (SCR) and the Maximum Burst Size (MBS). The essence of the situation set out above does not change, however: the user experiences no incentive to spread the cells as much evenly as possible, while this is beneficial for the total network capacity and consequentially is 10 pursued by the network operator. The question is thus in which way the user can be urged to offer the traffic as evenly as possible. In other words, a method is needed to urge the network user via the charging mechanism to offer the traffic in a less bursty way. If in an ATM network use is made of an ABR (Avaialable Bit Rate) control mechanism, the network dynamically assigns capacity to each connection. It can however occur that the network assigns capacity to a connection but that the user does not use or not comletely use that capacity., e.g. if the user sends less cells than the assigned capacity allows. With the present charging mechanisms (based on a total time and a total volume component in the charge), leaving capacity assigned by the network unused leads to a lower charge. There is no incentive to use the assigned capacity indeed 25 and there is no incentive to gear the capacity to the actual current need.

b. IP (Internet Protocol)

The IP is a connectionless packet switched technique which is used for the Internet. Current IP networks exclusively supply a so-called best-effort service. The networks commits itself to make an effort to deliver the packet (datagramme) at the destination but no guarantee is given; the packet can be lost in case of a congestion. It is

customary to charge access to the Internet only, e.g. by a fixed amount per month (flat rate) or by a fixed rate per unit of time (hour) that the user is logged onto his Internet Sevice Provider. In this type of charging there is no relation with the amount of data wich a user asks or offers.

Because IP is a connectionless technique, there is no matter of "connections" in the same sense as with a telephone or an ATM connection. The aspect of time is therefore inherently unsuitable to serve as measure for network load. To be able to relate the use of an IP network to the network load caused by the network user, an other quantity has to be used.

The amount of data can in IP be expressed in different

units, such as the number of datagrammes per unit of time
and the number of bytes (or bits) per unit of time of which
the datagrammes consist.

Guaranteed IP services

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Recently, work is being done on an extension of the

20 services which an IP network can offer. The aim is, next to
the best-effort service described in the above, to also
enable an IP network to give guarantees for the throughput
and for the delay experienced in the network, comparable
with the possibilities that an ATM network offers. The

25 standardisation of these new services with guarantees is
still in an early stage.

One of the proposed possibilities is to use reservations, e.g. with the protocol RSVP [4]. In that case it is desirable that the extent of the reservation requested or made and the duration of the reservation is expressed in the charge.

In an other proposed approach, some bits in the IP header are used to indicate to which service class the IP packet belongs, e.g. "best-effort" or "guaranteed with short

delay". In that case it is desirable that the indication of the service class also is expressed in the charge.

All considerations as mentioned above for ATM/DBR and ATM/SBR are mutatis mutandis also applicable on these new IP services with guarantees.

SUMMARY OF THE INVENTION The invention provides a charging system in which the charging gives more direction to an efficient network use. To this end, the invention proposes not to measure and charge the total numbver of data units (cells, IP datagrammes, bytes in IP datagrammes) during the whole connection (session), but to subdivide a connection in shorter or longer measuring periods, to measure the number of data units during such measurement periods and base the 15 charging on that. The invention comprises hereunto a measurement device for measuring the number of data units received and/or transmitted during a set period of time, shorter than the time during which said telecommunication connection is open or active. In stead of measuring the 20 number of data units over a fixed period, it is conversely also possible to measure the duration of time between the reception or transmission of a specific number of data units. Furthermore, the invention comprises a calculation device for calculating for each set or measured period of 25 time the number of data units per unit of time and offering that calculation result a billing system. The calculation device calculates thus per û shorter or longer û period the real data units / time ratio, whereby the billing follows the actual network load more accurately. Thus, for the user an incentive can be created not to offer the data in bursts but more evenly and thereby contributing to a more efficient network use.

The measuring period can be equal to the interarrival time of two consecutive cells of a same connection. The rate

over the period from ti til and including ti+1 is then equal to 1/(ti+1 û ti), in other words, the inverse of the difference of the arrival and send times of two consecutive cells. The measuring period can also be longer, e.g. the time between cell number i and cell number i+n, where n>1. The measuring period can also be a set period, e.g. 100 ms. It will be appreciated that the shorter the measuring period is, the more accurate the measurements are, but also the larger the calculation capacity of the charging computer has to be. Also, it requires transmission traffic between the charging measurement points and the charging computer.

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Registering all measured information for all connections can lead to a large amount of data between the registration device and the billing system. A decrease of the amount of data can be achieved by aggregating the data in an aggregation device and transmitting the aggregated data to the billing system.

If a data stream is controlled by the ABR mechanism in ATM, the cell rate assigned (dynamically) by the network is 20 mentioned in the ECR field (Explicit Cell Rate) of so-called backward RM cells. To base the charge, apart from the real transmitted cell rate per measuring period, as proposed in the preceding, also on the capacity assigned by the network, the system can be extended by a device which 25 reads the value that is written in the ECR field. In the same way, the cell rate desired by the user, mentioned in the ECR field of the so-called forward RM cells, can be detected and processed. In that way, the charging is based both on the capacity desire of the user and on the capacity 30 which the network assigns to the user. A comparable function is accomplished in an IP network by reading and registering the size of the reservations desired or made from the reservation messages (e.g. RESV messages of RSVP

[4]), or by reading and registering the priority indication in the header of the IP datagramme, and adjusting the charge accordingly.

DESCRIPTION OF THE FIGURE

5 Figure 1 shows an exemplary embodiment of the invention.
ATM: Asynchronous Transfer Mode

A physical communication line 1 transports ATM cells. The cells can belong to different virtual connections (channels, paths). A measuring device 2 detects from the

header of an arriving cell the virtual connection to which the cell belongs. In the measuring device 2 for each (virtual) connection a counter reading is kept up to date with the number of arriving cells. A clock generator 3 generates periodical clock pulses. A calculation device 4

calculates per connection the ratio between the number of arrived cells and the number of clock pulses and passes this ratio on to a billing system 5. According to the invention, said ratio is not calculated over the entire time that a connection is active but over smaller periods.

20 There are therein two possibilities, viz. (per connection) starting from a fixed measuring period T and counting the number n of cells arriving in that period, wherein the ratio r = n/T, or starting from a fixed number of cells N and measuring the time t which is needed for the arriving of those cells, wherein r = N/t.

In order to charge a bursty supply of cells more heavily than an even supply, the fixed periods of measurement T or the fixed number of cells N is chosen in such a way that the ratio n/T or N/t is high in traffic with a (temporary)

30 "burst" character. To create an incentive for an even traffic supply, measurement periods in which the calue of r is high are charged more heavily than periods with a low value of r.

In order to let the billing, in a connection which uses ABR, also be dependent on the capacity desired by the user, that value r1 is read by device 2 from passing RM (Resource Management) cells and passed on, through the calculation device 4, to the billing system 5. In the same way a value r2 is extracted, via a return connection 1', in which measuring device 2', a clock generator 3' and a calculation device 4 are included, from the "backward" RM cells, which is an indication for the maximum cell rate which is (dynamically) assigned by the network to the user. That value too, is passed on to the billing system and is included, as well as the value r1, in the price to be charged to the user.

For use in an IP network (where the packet size may vary) the measuring device (2) is moreover able to measure and register the size of the packet (datagramme). The registration includes then the number of IP datagrammes and the cumulative number of bytes in those datagrammes. A calculation device 4 calculates per connection the ration 20 between the number of arrived datagrammes/bytes and the number of clock pulses and passes both ratios on to a billing system 5. In the same way, via a return connection 1', in which a measuring device 2', a clock generator 3' and a calculation device 4 are included, a value r2 is in the opposite direction extracted from the reservation 25 messages, which is an indication for the reservation promised by the network. That value too is passed on to the billing system and is included, as well as the value r1, in the price to be charged to the user. Instead of relating to the capcity, the parameters r1 and r2 can also relate to the (requested or assigned) priority of the datagrammes. Optionally, as the figure shows, an aggregation device 6 can be added. This device aggregates the periodically

generated data from the calculation devices 4 and 4', so

that the task of the billing system 5 is relieved and the quantity of billing data to be transported is reduced. REFERENCES

- [1] Marion Raffali-Schreinemachers et al: "Charging and billing issues in high speed heterogeneous networking environments"; Proceedings InterWorking '96; October 1-3, 1996; Nara, Japan; pp. 97-108.
  - [2] ITU-T I.371: "Traffic Control and Congestion Control in B-ISDN"; ITU-T recommendation I.371 (08/96); Geneva, August 1996.
  - [3] ITU-T I.356: "B-ISDN ATM layer cell transfer performance"; ITU-T recommendation I.356 (10/96); Geneva, October 1996.

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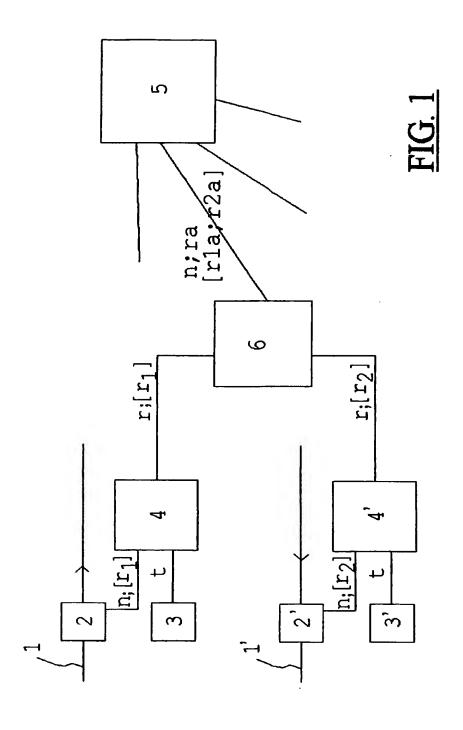
[4] Braden, R. (Ed.), et al: "Resource ReSerVation Protocol 15 (RSVP) Version 1, Functional Specification"; Internet Engineering Task Force, RFC 2205, September 1997.

# CLAIMS

- 1. System for charging the use of a packet based telecommunication network such as an ATM or IP network, characterised by a measuring device (2) for measuring the number (n) of data units received and/or transmitted data units during a set period of time (T), shorter than the time during which said telecommunication connection is opened.
- 2. System for charging the use of a packet based telecommunication network such as an ATM or IP network, characterised by a measuring device (2) for measuring the time period (t) between a set number (N) of received or transmitted data uits.
- 3. System according to claim 1 or 2, characterised by a 5 calculation device (4) for calculating the number of data units per said period of time (T;t) and supplying that calculation result (r) to a billing system (5).
- System according to claim 3, in which the telecommunication network comprises system data units
   (RM,RESV) which comprise an indication (r1) of the capacity or priority requested by the user, characterised by a detection device (2) for reading out said indication out of the system data units and transferring that indication to the billing system.
- 5. System according to claim 3, in which the telecommunication system comprises system data units (RM, RESV) which comprise an indication (r2) of the capacity or priority assigned by the telecommunication system, characterised by a detection device (2') for reading out said indication out of the system data units and transferring that indication to the billing system.
  - 6. System according to claim 3, characterised by an aggregation device (6) for aggregating the calculation

result (r) en passing on the aggregated result (ra) to the billing system.

7. System according to claim 4 or 5, characterised by an aggregation device (6) for aggregating said capacity or priority indications (r1, r2) and passing on the aggregated indications (r1a, r2a) to the billing system.



# KONINKRIJK DER EP 99/3950°



# **NEDERLANDEN**





Bureau voor de Industriële Eigendom

REC'D 1 4 JUL 1999 WIPO PCT

Hierbij wordt verklaard, dat in Nederland op 2 september 1998 onder nummer 1009987, ten name van:

# KONINKLIJKE KPN N.V.

te Groningen

een aanvrage om octrooi werd ingediend voor:

"Systeem voor charging van het gebruik van een pakketgebaseerd telecommunicatienetwerk", onder inroeping van een recht van voorrang, gebaseerd op de in Nederland op 8 juni 1998 onder nummer 1009342 ingediende aanvrage om octrooi, en

dat de hieraan gehechte stukken overeenstemmen met de oorspronkelijk ingediende stukken.

# PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)

Rijswijk, 25 juni 1999.

De Directeur van het Bureau voor de Industriële Eigendom,

voor deze,

A.W. van der Kruk.

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B. v. d. I.E.
0 2 SEP. 1998

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# UITTREKSEL

9. 15

Systeem voor charging van het gebruik van een pakketgebaseerd telecommunicatienetwerk. Een meetorgaan (2) meet van het aantal (n) dataeenheden gedurende een tijdsperiode (T) of de tijdsperiode (t) tussen een bepaald aantal (N) dataeenheden. In elk geval is de tijdsperiode kort in verhouding tot de totale verbindingsduur. Een rekenjorgaan (4) berekent per tijdsperiode het aantal dataeenheden per tijdseenheid en zendt dat berekeningsresultaat (r) naar een billingsysteem (5). Als de telecommunicatieverbinding

systeemdataeenheden omvat die een indicatie (rl) omvatten van het door een gebruiker gevraagde capaciteit of prioriteit, kan die indicatie ook aan het billingsysteem worden doorgegeven, evenals een indicatie van het door het telecommunicatiesysteem toegewezen capaciteit resp. prioriteit.

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Systeem voor charging van het gebruik van een pakketgebaseerd telecommunicatienetwerk

# ACHTERGROND VAN DE UITVINDING

De uitvinding heeft betrekking op een systeem voor charging van het gebruik van een pakketgebaseerd telecommunicatienetwerk zoals een ATMof IP geöriënteerd netwerk.

# a. ATM (Asynchronous Transfer Mode)

ATM is een relatief nieuwe netwerktechniek om op een geüniformeerde

manier verbindingen te kunnen leveren met zeer uiteenlopende
karakteristieken. Voor elke ATM-verbinding moet worden betaald. Bij
voorkeur reflecteert het voor de verbinding in rekening gebrachte
bedrag zowel de door het netwerk geleverde als de door de klant
ervaren prestatie. In een telefoonnetwerk wordt iets dergelijks

bereikt door het bedrag te laten afhangen van de tijdsduur van de verbinding (gerekend in seconden, minuten of andere eenheden zoals "tikken") en van de overbrugde afstand. Bij een telefoongesprek gaat het evenwel altijd om een verbinding met een vaste capaciteit (bijvoorbeeld van 64 kbit/s bij ISDN). ATM daarentegen is veel

20 flexibeler en er zijn meer verbindingsparameters en -variabelen dan bij op PSTN of ISDN gebaseerde verbindingen.

- Met ATM kunnen verbindingen worden opgezet met uiteenlopende capaciteit (enkele kbit/s tot honderden Mbit/s).

- Met ATM kunnen verbindingen worden opgezet met uiteenlopende

25 netwerkgaranties ten aanzien van cell-verlies, cell delay, cell
delay-variatie en throughput door het kiezen van een "ATM Transfer
Capability" (ATC) [2] en Quality of Service class (QoS class) [3].
Het voor een ATM-verbinding in rekening gebrachte bedrag moet bij
voorkeur ook deze extra flexibiliteit reflecteren, hetgeen onderwerp

30 van de onderhavige uitvinding is. Fan beleen in

van de onderhavige uitvinding is. Een belangrijke aspect bij het bepalen van de manier van charging voor ATM-verbindingen is de sturing (incentive) die de charging geeft aan de manier van het netwerkgebruik. In een traditioneel telefoonnetwerk zorgt de tijd-gerelateerde charge dat een klant een verbinding niet nodeloos in stand houdt. In een traditionele datanetwerk wordt meestal een

volumetarief gehanteerd, zodat er een incentive is om het netwerk niet te belasten met nutteloos verkeer. Een ander voorbeeld is het toepassen van een daltarief met het oogmerk een deel van het netwerkgebruik te verschuiven naar periodes buiten de piekuren zodat

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mogelijkheden die een ATM-netwerk biedt. De standaardisatie van deze nieuwe diensten met garanties is nog in een pril stadium.

Een van de voorgestelde mogelijkheden is om gebruik te maken van reserveringen, bijvoorbeeld met het protocol RSVP [4]. In dat geval is het wenselijk dat de omvang van de gevraagde of gemaakte reservering en de duur van de reservering in de charge tot uitdrukking komt.

Bij een andere voorgestelde aanpak worden enkele bits in de IP header gebruikt om aan te geven tot welke dienstklasse het IP-pakket behoort, bijvoorbeeld 'best-effort' of 'gegarandeerd met lage delay'. In dat geval is het wenselijk dat de aanduiding van de dienstklasse mede in

10 geval is het wenselijk dat de aanduiding van de dienstklasse mede in de charge tot uitdrukking komt.

Alle overwegingen zoals hierboven genoemd voor ATM/DBR en ATM/SBR zijn mutatis mutandis ook van toepassing op deze nieuwe IP-diensten met garanties.

15 SAMENVATTING VAN DE UITVINDING

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De vinding voorziet in een charging systeem waarin de charging meer sturing geeft aan een efficiënt netwerkgebruik. Daartoe stelt de uitvinding voor om niet het totale aantal dataeenheden (cellen, IP datagrammen, bytes in IP datagrammen) gedurende de gehele verbinding (sessie) te meten en te belasten, maar om een verbinding op te delen in kortere of langere meetperiodes, gedurende dergelijke meetperiodes het aantal dataeenheden te meten en daarop de charging te baseren. De uitvinding omvat daartoe een meetorgaan voor het meten van het aantal ontvangen en/of verzonden dataeenheden gedurende een ingestelde

tijdsperiode, korter dan de tijd gedurende welke de genoemde telecommunicatieverbinding open staat of actief is. In plaats van het meten van het aantal dataeenheden over een ingestelde periode, kan ook, omgekeerd, de tijdsduur worden gemeten tussen de ontvangst of verzending van een bepaald aantal dataeenheden. In dat geval omvat de uitvinding een meetorgaan voor het meten van de tijdsperiode tussen een ingesteld aantal opeenvolgend ontvangen of verzonden dataeenheden. Voorts omvat de uitvinding een rekenorgaan voor het voor elke ingestelde respectievelijk gemeten tijdsperiode berekenen van het aantal dataeenheden per tijdseenheid en het aanbieden van dat berekeningsresultaat aan een billingsysteem. Het rekenorgaan berekent

berekeningsresultaat aan een billingsysteem. Het rekenorgaan berekent dus per --kortere of langere-- periode de daadwerkelijke dataeenheden/tijd ratio, waardoor de billing veel nauwkeuriger de werkelijke netwerkbelasting volgt. Dan kan dus voor de gebruiker een incentive worden gecreëerd om de data niet in bursts maar gelijkmatig

ATM: Asynchronous Transfer Mode

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Een fysieke communicatielijn 1 transporteert ATM cellen. De cellen kunnen tot verschillende virtuele verbindingen (kanalen, paden) behoren. Een meetorgaan 2 detecteert uit de header van een arriverende cell de virtuele verbinding waarvan de cell deel uitmaakt. In het meetorgaan 2 wordt nu voor elke (virtuele) verbinding een tellerstand bijgehouden met het aantal gearriveerde cellen. Een klokgenerator 3

genereert periodieke klokpulsen. Een rekenorgaan 4 berekent per verbinding de ratio tussen het aantal gearriveerde cellen en het aantal klokpulsen en geeft die ratio door aan een billingsysteem 5. Volgens de uitvinding wordt de genoemde ratio niet over de gehele tijd dat een verbinding actief is berekend maar over kleinere perioden. Er zijn daarbij twee mogelijkheden, namelijk (per verbinding) uitgaan van een vaste meetperiode T en het aantal n in die periode arriverende

15 cellen tellen, waarbij de ratio r = n/T, of uitgaan van een vast aantal N cellen en de tijdsduur t meten die nodig is voor het arriveren van die cellen, waarbij r = N/t.

Teneinde een "burst"-achtig cellenaanbod zwaarder te kunnen aanrekenen dan een gelijkmatig aanbod worden de vaste meetperiodes T of het vaste aantal N cellen zo gekozen dat de ratio n/T respectievelijk N/t hoog is bij verkeer met een (tijdelijk) "burst"-karakter. Om een incentive te scheppen voor een gelijkmatig verkeersaanbod worden meetperioden dat de waarde van r hoog is door het billingsysteem zwaarder aangerekend dan perioden met een lage waarde voor r.

- Teneinde, bij een verbinding die gebruik maakt van ABR, de billing ook te laten afhangen van de door de gebruiker gewenste capaciteit, wordt die waarde r1 door het orgaan 2 uitgelezen uit passerende RM (Resource Management) cellen en, via het rekenorgaan 4, doorgezonden naar het billingsysteem 5. Op dezelfde wijze wordt, via een retourverbinding
- 30 1', waarin een meetorgaan 2', een klokgenerator 3' en een rekenorgaan 4 is opgenomen, uit de "backward" RM-cellen een waarde r2 geëxtraheerd die een indicatie is voor de door het netwerk (dynamisch) aan de gebruiker toegewezen maximale cell rate. Ook die waarde wordt aan het billingsysteem doorgegeven en maakt, evenals de waarde r1, deel uit van de aan de gebruiker door te berekenen prijs.
- Voor het gebruik van de vinding in een IP-netwerk (waarbij de pakketgrootte kan variëren) is het meetorgaan (2) bovendien in staat om de grootte van het pakket (datagram) te meten en te registreren. De registratie omvat dan het aantal IP datagrammen en het gecumuleerde

40 aantal bytes in die datagrammen. Een rekenorgaan 4 berekent per

verbinding de ratio tussen het aantal gearriveerde datagrammen/bytes en het aantal klokpulsen en geeft beide ratios door aan een billingsysteem 5.

Teneinde, bij datagram flow waarbij sprake is van een reservering, de billing ook te laten afhangen van de door de gebruiker gewenste capaciteitsreservering, wordt die waarde r1 door het orgaan 2

uitgelezen uit passerende reserveringsberichten (bijv. de RESV

berichten indien RSVP wordt gebruikt) en, via het rekenorgaan 4, doorgezonden naar het billingsysteem 5. Op dezelfde wijze wordt, via een retourverbinding 1', waarin een meetorgaan 2', een klokgenerator 3' en een rekenorgaan 4 is opgenomen, uit de reserveringsberichten in omgekeerde richting een waarde r2 geëxtraheerd die een indicatie is voor de door het netwerk toegezegde reservering. Ook die waarde wordt aan het billingsysteem doorgegeven en maakt, evenals de waarde r1,

deel uit van de aan de gebruiker door te berekenen prijs. In plaats van op de capaciteit kunnen de parameters rl en r2 ook betrekking hebben op de (gevraagde resp. toegewezen) prioriteit van de datagrammen.

Optioneel kan -zoals de figuur toont- een aggregatieorgaan 6 worden 20 toegevoegd. Dit orgaan aggregeert de periodiek gegenereerde gegevens uit de rekenorganen 4 en 4', zodat de taak van het billingsysteem 5 wordt verlicht en de hoeveelheid te transporteren billing-data wordt verminderd.

# REFERENTIES

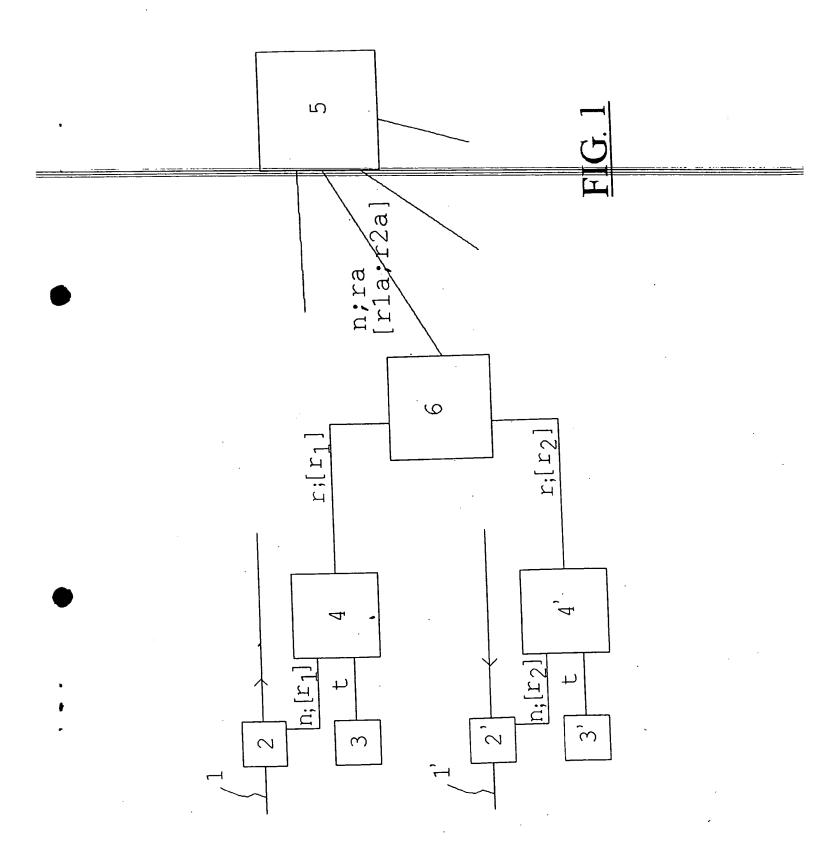
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- 25 [1] Marion Raffali-Schreinemachers, e.a.: "Charging and billing issues in high speed heterogeneous networking environments"; Proceedings InterWorking '96; October 1-3, 1996; Nara, Japan; pp. 97-108.
  - [2] ITU-T I.371: "Traffic Control and Congestion Control in B-ISDN"; ITU-T recommendation I.371 (08/96); Geneva, August 1996.
- 30 [3] ITU-T I.356: "B-ISDN ATM layer cell transfer performance"; ITU-T recommendation I.356 (10/96); Geneva, October 1996.
  - [4] Braden, R. (Ed.), e.a.: "Resource ReSerVation Protocol (RSVP) ù Version 1, Functional Specification"; Internet Engineering Task Force, RFC 2205, September 1997.

# CONCLUSIES

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- 1. Systeem voor charging van het gebruik van een pakketgebaseerd telecommunicatienetwerk zoals een ATM- of IP-netwerk,
- g e k e n m e r k t d o o r een meetorgaan (2) voor het meten van het aantal (n) ontvangen en/of verzonden dataeenheden gedurende een ingestelde tijdsperiode (T), korter dan de tijd gedurende welke de genoemde telecommunicatieverbinding open staat.
  - 2. Systeem voor charging van het gebruik van een pakket-gebaseerd telecommunicatienetwerk zoals een ATM- of IP-netwerk,
- 10 gekenmerkt door een meetorgaan (2) voor het meten van de tijdsperiode (t) tussen een ingesteld aantal (N) ontvangen of verzonden dataeenheden.
  - 3. Systeem volgens conclusie 1 of 2, g e k e n m e r k t d o o r een rekenorgaan (4) voor het per genoemde tijdsperiode (T;t) berekenen van het aantal dataeenheden per tijdseenheid en het aanbieden van dat berekeningsresultaat (r) aan een billingsysteem (5).
  - 4. Systeem volgens conclusie 3, waarbij het telecommunicatienetwerk systeemdataeenheden (RM, RESV) omvat die een indicatie (rl) omvatten van het door een gebruiker gevraagde capaciteit of prioriteit,
- g e k e n m e r k t d o o r een detectieorgaan (2) voor het uit de systeemdataeenheden uitlezen van de genoemde indicatie en het aan het billingsysteem doorgeven van die indicatie.
  - 5. Systeem volgens conclusie 3, waarbij het telecommunicatienetwerk systeemdataeenheden (RM, RESV) omvat die een indicatie (r2) omvatten
- van het door het telecommunicatiesysteem toegewezen capaciteit of prioriteit, gekenmerkt door een detectieorgaan (2') voor het uit de systeemdataeenheden uitlezen van de genoemde indicatie en het aan het billingsysteem doorgeven van die indicatie.
- 6. Systeem volgens conclusie 3, gekenmerkt door een
  30 aggregatieorgaan (6) voor het aggregeren van het berekeningsresultaat
  (r) en het aan het billingsysteem doorgeven van het geaggregeerde
  resultaat (ra).
- 7. Systeem volgens conclusie 4 of 5, g e k e n m e r k t d o o r een aggregatieorgaan (6) voor het aggregeren van de genoemde
   35 capaciteits- resp. prioriteitsindicaties (r1,r2) en het aan het billingsysteem doorgeven van de geaggreerde indicaties (rla,r2a).



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# PATENT OFFICE

This certifies that in the Netherlands, on 2 September 1998, a patent application was filed under number 1009987, in the name of:

Koninklijke KPN N.V.

of Groningen

for: "System for charging the use of a packet-based telecommunication network." claiming priority of the patent application which was filed in the Netherlands on 8 June 1998 under number 1009342, and that the documents attached hereto are in accordance with the documents originally filed.

Rijswijk, 25 June 1999.

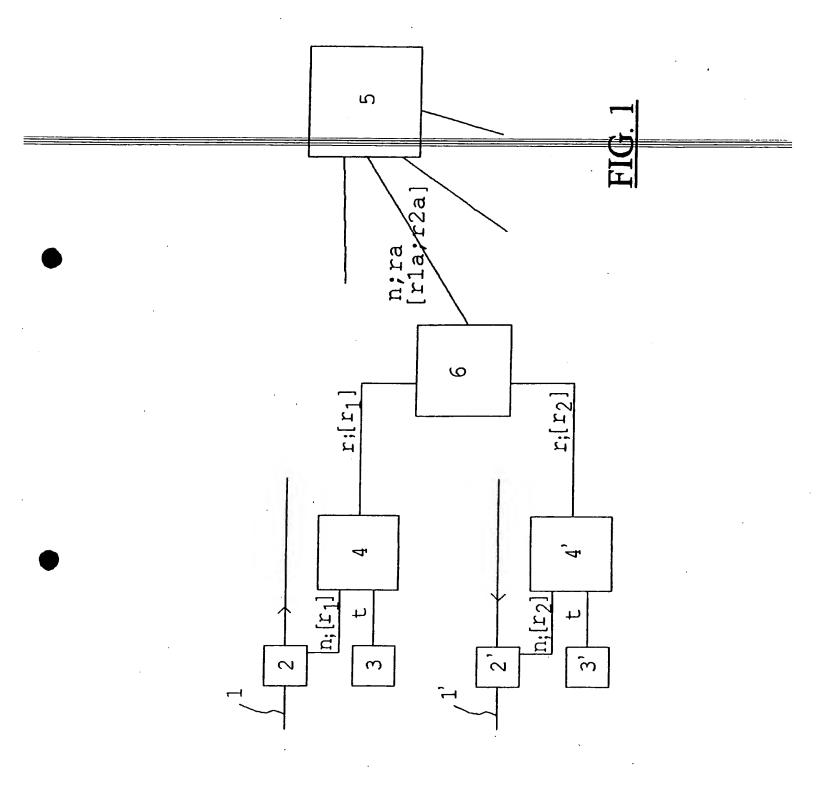
On behalf of the Chairman of the Patent Office,

(signature)

(A.W. van der Kruk)

# **ABSTRACT**

System for charging the use of a packet based telecommunication network. A measuring device (2) measures the number (n) of data units during a period of time (T) or 5 the period of time (t) between a certain number (N) of data units. At any rate, the period of time is short in relation to the total connection time. A calculation device (4) calculates per period of time the number of data units per unit of time and transmits that calculation result (r) to a billing sytem (5). If the telecommunication system comprises system data units which comprise an indication (r1) of the capacity or priority requested by the user, that indication can also be passed on to the billings sytem, as well as an indication of the capacity or priority assigned by the telecommunication system



System for charging the use of a packet-based telecommunication network.

# BACKGROUND OF THE INVENTION

- 5 The invention is related to a system for charging the use of a packet-based telecommunication network, such as an ATM or TP oriented network.
  - a. ATM (Asynchronous Transfer Mode)

ATM is a relatively new network technique for supplying 10 connections with very different characteristics in a uniform manner. For each ATM connection has to be paid. Preferably, the amount charged for the connection reflects both the performance delivered by the network and the performance experienced by the customer. In a telephone network something like this is achieved by letting the 15 amount to be dependent on the duration of the connection (calculated in seconds, minutes or other units, such as "ticks") and on the distance covered. A telephone call, however, is almost always a case of a connection with a fixed capacity (e.g. 64 kbit/s with ISDN). In contrast, ATM 20 is much more flexible and there are more connection parameters and variables than with PSTN or ISDN based connections.

- With ATM connections can be established with varying capacity (some kbit/s up to hundreds of Mbit/s).
  - With ATM connections can be established with varying network garantuees concerning cell loss, cell delay, cell delay variance and throughput by choosing an "ATM Transfer Capability" (ATC) [2] and Quality of Service class (QoS
- oclass) [3]. The amount charged for an ATM connection should preferably also reflect this additional flexibility, which is the subject of the present invention. An important aspect in determining the manner of charging for ATM connections is the direction (incentive) that the charging
- 35 gives to the manner of network usage. In a traditional telephone network the time-related charge usually assures

that the customer does not unnecessarily occupy the the connection. In a traditional data network usually a volume rate is used, so that there is an incentive not to burden the network with unnecessary traffic. Another example is the application of an off-peak rate with a view of shifting

a part of the network traffic to periods outside the peak hours so that the network can be dimensioned smaller and thereby is less expensive.

The present state of the art usually envisages to base the

charge of an ATM connection on two variables, i.e. a time
component, the duration of time of the connection
(session), and a volume component, the total number of ATM
cells transmitted and/or received during the connection
[1]. Both variables can easily be measured, registered and
processed into a charge during the connection. On
processing of the values of the time component the price
per unit can depend on various quantities. Examples of such
quantities are the distance covered and time of the day or
of the week, comparable with the usual charging for
telephone. Examples of other quantities are the ATM

There are different ATCs standardised in ITU-T recommendation I.371. Hereafter it is indicated what are the limitations for some of the ATCs of choosing a charge which is based on the time and volume component as

parameters such as the Peak Cell Rate of the connection

described in the above.

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A charge which is exclusively based on the time and volume component as set out in the above, has as a consequence that only the total connection duration and only the total number of cells during the duration of the connection play a role in the charge. For these quantities (and thereby for the charge) it does not matter whether all cells are offered evenly over the connection duration ("Constant Bit

Rate") or are concentrated in one or more bursts of cells ("Variable Bit Rate"). For the network it is advantageous if the cells offered are spread as much as possible. ATM connections that use SBR (Statistical Bit Rate) are characterised by two additional parameters, the Sustainable Cell Rate (SCR) and the Maximum Burst Size (MBS). The essence of the situation set out above does not change, however: the user experiences no incentive to spread the cells as much evenly as possible, while this is beneficial for the total network capacity and consequentially is pursued by the network operator. The question is thus in which way the user can be urged to offer the traffic as evenly as possible. In other words, a method is needed to urge the network user via the charging mechanism to offer the traffic in a less bursty way. If in an ATM network use is made of an ABR (Avaialable Bit Rate) control mechanism, the network dynamically assigns capacity to each connection. It can however occur that the network assigns capacity to a connection but that the user does not use or not comletely use that capacity., e.g. if the user sends less cells than the assigned capacity allows. With the present charging mechanisms (based on a total time and a total volume component in the charge), leaving capacity assigned by the network unused leads to a lower charge. There is no incentive to use the assigned capacity indeed and there is no incentive to gear the capacity to the

b. IP (Internet Protocol)

actual current need.

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The IP is a connectionless packet switched technique which is used for the Internet. Current IP networks exclusively supply a so-called best-effort service. The networks commits itself to make an effort to deliver the packet (datagramme) at the destination but no guarantee is given; the packet can be lost in case of a congestion. It is

customary to charge access to the Internet only, e.g. by a fixed amount per month (flat rate) or by a fixed rate per. unit of time (hour) that the user is logged onto his Internet Sevice Provider. In this type of charging there is no relation with the amount of data wich a user asks or offers.

Because IP is a connectionless technique, there is no matter of "connections" in the same sense as with a telephone or an ATM connection. The aspect of time is therefore inherently unsuitable to serve as measure for network load. To be able to relate the use of an IP network to the network load caused by the network user, an other quantity has to be used.

The amount of data can in IP be expressed in different units, such as the number of datagrammes per unit of time and the number of bytes (or bits) per unit of time of which the datagrammes consist.

Guaranteed IP services

Recently, work is being done on an extension of the services which an IP network can offer. The aim is, next to 20 the best-effort service described in the above, to also enable an IP network to give guarantees for the throughput and for the delay experienced in the network, comparable with the possibilities that an ATM network offers. The standardisation of these new services with guarantees is

still in an early stage. One of the proposed possibilities is to use reservations, e.g. with the protocol RSVP [4]. In that case it is desirable that the extent of the reservation requested or

made and the duration of the reservation is expressed in

the charge.

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In an other proposed approach, some bits in the IP header are used to indicate to which service class the IP packet belongs, e.g. "best-effort" or "guaranteed with short

delay". In that case it is desirable that the indication of the service class also is expressed in the charge. All considerations as mentioned above for ATM/DBR and ATM/SBR are mutatis mutandis also applicable on these new 5 IP services with quarantees.

# SUMMARY OF THE INVENTION

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The invention provides a charging system in which the charging gives more direction to an efficient network use. To this end, the invention proposes not to measure and charge the total numbver of data units (cells, IP datagrammes, bytes in IP datagrammes) during the whole connection (session), but to subdivide a connection in shorter or longer measuring periods, to measure the number of data units during such measurement periods and base the charging on that. The invention comprises hereunto a 15 measurement device for measuring the number of data units received and/or transmitted during a set period of time, shorter than the time during which said telecommunication connection is open or active. In stead of measuring the number of data units over a fixed period, it is conversely 20 also possible to measure the duration of time between the reception or transmission of a specific number of data units. Furthermore, the invention comprises a calculation device for calculating for each set or measured period of time the number of data units per unit of time and offering that calculation result a billing system. The calculation device calculates thus per û shorter or longer û period the real data units / time ratio, whereby the billing follows the actual network load more accurately. Thus, for the user an incentive can be created not to offer the data in bursts but more evenly and thereby contributing to a more efficient network use.

The measuring period can be equal to the interarrival time of two consecutive cells of a same connection. The rate

over the period from ti til and including ti+1 is then equal to 1/(ti+1 û ti), in other words, the inverse of the difference of the arrival and send times of two consecutive cells. The measuring period can also be longer, e.g. the time between cell number i and cell number i+n, where n>1.

The measuring period can also be a set period, e.g. 100 ms. It will be appreciated that the shorter the measuring period is, the more accurate the measurements are, but also the larger the calculation capacity of the charging computer has to be. Also, it requires transmission traffic between the charging measurement points and the charging computer.

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Registering all measured information for all connections can lead to a large amount of data between the registration device and the billing system. A decrease of the amount of data can be achieved by aggregating the data in an aggregation device and transmitting the aggregated data to the billing system.

If a data stream is controlled by the ABR mechanism in ATM, the cell rate assigned (dynamically) by the network is 20 mentioned in the ECR field (Explicit Cell Rate) of so-called backward RM cells. To base the charge, apart from the real transmitted cell rate per measuring period, as proposed in the preceding, also on the capacity assigned by the network, the system can be extended by a device which 25 reads the value that is written in the ECR field. In the same way, the cell rate desired by the user, mentioned in the ECR field of the so-called forward RM cells, can be detected and processed. In that way, the charging is based 30 both on the capacity desire of the user and on the capacity which the network assigns to the user. A comparable function is accomplished in an IP network by reading and registering the size of the reservations desired or made from the reservation messages (e.g. RESV messages of RSVP

[4]), or by reading and registering the priority indication in the header of the IP datagramme, and adjusting the charge accordingly.

DESCRIPTION OF THE FIGURE

5 Figure 1 shows an exemplary embodiment of the invention.

ATM: Asynchronous Transfer Mode

A physical communication line 1 transports ATM cells. The cells can belong to different virtual connections (channels, paths). A measuring device 2 detects from the header of an arriving cell the virtual connection to which the cell belongs. In the measuring device 2 for each

(virtual) connection a counter reading is kept up to date with the number of arriving cells. A clock generator 3 generates periodical clock pulses. A calculation device 4 calculates per connection the ratio between the number of

arrived cells and the number of clock pulses and passes this ratio on to a billing system 5. According to the invention, said ratio is not calculated over the entire time that a connection is active but over smaller periods.

There are therein two possibilities, viz. (per connection) starting from a fixed measuring period T and counting the number n of cells arriving in that period, wherein the ratio r = n/T, or starting from a fixed number of cells N and measuring the time t which is needed for the arriving

25 of those cells, wherein r = N/t.

In order to charge a bursty supply of cells more heavily than an even supply, the fixed periods of measurement T or the fixed number of cells N is chosen in such a way that the ratio n/T or N/t is high in traffic with a (temporary)

"burst" character. To create an incentive for an even traffic supply, measurement periods in which the calue of r is high are charged more heavily than periods with a low value of r. In order to let the billing, in a connection which uses ABR, also be dependent on the capacity desired by the user, that value rl is read by device 2 from passing RM (Resource Management) cells and passed on, through the calculation device 4, to the billing system 5. In the same way a value

r2 is extracted, via a return connection 1', in which measuring device 2', a clock generator 3' and a calculation device 4 are included, from the "backward" RM cells, which is an indication for the maximum cell rate which is (dynamically) assigned by the network to the user. That value too, is passed on to the billing system and is included, as well as the value r1, in the price to be charged to the user.

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For use in an IP network (where the packet size may vary) the measuring device (2) is moreover able to measure and 15 register the size of the packet (datagramme). The registration includes then the number of IP datagrammes and the cumulative number of bytes in those datagrammes. A calculation device 4 calculates per connection the ration between the number of arrived datagrammes/bytes and the 20 number of clock pulses and passes both ratios on to a billing system 5. In the same way, via a return connection 1', in which a measuring device 2', a clock generator 3' and a calculation device 4 are included, a value r2 is in the opposite direction extracted from the reservation messages, which is an indication for the reservation promised by the network. That value too is passed on to the billing system and is included, as well as the value r1, in the price to be charged to the user. Instead of relating to the capcity, the parameters r1 and r2 can also relate to 30 the (requested or assigned) priority of the datagrammes. Optionally, as the figure shows, an aggregation device 6 can be added. This device aggregates the periodically generated data from the calculation devices 4 and 4', so

that the task of the billing system 5 is relieved and the quantity of billing data to be transported is reduced. REFERENCES

- [1] Marion Raffali-Schreinemachers et al: "Charging and 5 billing issues in high speed heterogeneous networking environments"; Proceedings InterWorking '96; October 1-3, 1996; Nara, Japan; pp. 97-108.
  - [2] ITU-T I.371: "Traffic Control and Congestion Control in B-ISDN"; ITU-T recommendation I.371 (08/96); Geneva, August 1996.
  - [3] ITU-T I.356: "B-ISDN ATM layer cell transfer performance"; ITU-T recommendation I.356 (10/96); Geneva, October 1996.

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[4] Braden, R. (Ed.), et al: "Resource ReSerVation Protocol 15 (RSVP) Version 1, Functional Specification"; Internet Engineering Task Force, RFC 2205, September 1997.

### CLAIMS

- System for charging the use of a packet based telecommunication network such as an ATM or IP network, characterised by a measuring device (2) for measuring the number (n) of data units received and/or transmitted data units during a set period of time (T), shorter than the time during which said telecommunication connection is opened.
- 2. System for charging the use of a packet based telecommunication network such as an ATM or IP network, characterised by a measuring device (2) for measuring the time period (t) between a set number (N) of received or transmitted data uits.
- 3. System according to claim 1 or 2, characterised by a calculation device (4) for calculating the number of data units per said period of time (T;t) and supplying that calculation result (r) to a billing system (5).
- System according to claim 3, in which the telecommunication network comprises system data units
   (RM,RESV) which comprise an indication (r1) of the capacity or priority requested by the user, characterised by a detection device (2) for reading out said indication out of the system data units and transferring that indication to the billing system.
- 25 5. System according to claim 3, in which the telecommunication system comprises system data units (RM, RESV) which comprise an indication (r2) of the capacity or priority assigned by the telecommunication system, characterised by a detection device (2') for reading out said indication out of the system data units and transferring that indication to the billing system.
  - 6. System according to claim 3, characterised by an aggregation device (6) for aggregating the calculation

result (r) en passing on the aggregated result (ra) to the billing system.

System according to claim 4 or 5, characterised by an aggregation device (6) for aggregating said capacity or
 priority indications (r1, r2) and passing on the aggregated indications (r1a, r2a) to the billing system.

